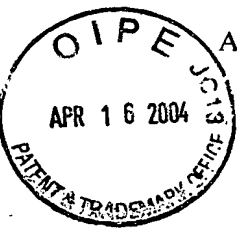


4/19/04



Attorney Docket No. 2463

Patent Application

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Clifford, et al. ) Group Art Unit: NYA  
Serial No.: 10/782,686 ) Examiner: NYA  
Filed: February 19, 2004 )  
For: Device for Dispensing Fluid Medicine

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Express Mail No. EV 224560257 US  
Date: April 16, 2004

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure.

The Examiner is respectfully requested to fully consider each of the references cited on the attached form PTO/SB/08 and, after such consideration, initial the enclosed Form PTO/SB/08 in the space provided next to each reference. Please return a copy of the initialed Form PTO/SB/08 with the next communication transmitted to the Applicant. Copies of all cited foreign patent documents and non-patent literature documents are enclosed. Each of these references is written in the English language with the exception of WO 02/085282. However, WO 02/085282 has an English language abstract that fulfills the requirement of a concise explanation of relevance under 37 C.F.R. 1.98(a)(3). See MPEP § 609 (III)(A)(3). Copies of the cited U.S. patent documents are not enclosed. Any markings, underlines, notations or the like present in any reference are not to be construed as drawing the Examiner's attention to such marking or away from other portions of the reference.

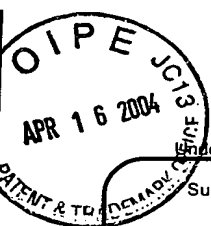
This statement is filed within three months of the filing date of this application pursuant to 37 C.F.R. § 1.97(b), and therefore no fee for the consideration of this statement is enclosed herewith. However, the Commissioner is hereby authorized to charge any amount required for the consideration of this statement to Deposit Account No. 501051 of Alcon, Inc.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "W. David Lee".

W. David Lee  
Reg. No. 39,743

Alcon Research, Ltd.  
Mail Code Q-148  
Fort Worth, TX 76134-2099  
Tel: (817) 568-6029  
Fax: (817) 551-4610



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/782,686
Filing Date	02/19/04
First Named Inventor	Julia Clifford
Art Unit	
Examiner Name	
Attorney Docket Number	2463

Sheet 1 of 3

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	US- D368,774	04/09/1996	Py	
	A2	US- D413,668	09/07/1999	Mannberg et al.	
	A3	US- D444,874	07/10/2001	Haffner et al.	
	A4	US- D445,177	07/17/2001	Cogger	
	A5	US- D446,739	08/21/2001	Kan	
	A6	US- D446,740	08/21/2001	Cruitt	
	A7	US- D447,074	08/28/2001	Chan	
	A8	US- 1,775,400	09/09/1930	Kramer	
	A9	US- 4,543,096	09/24/1985	Keene	
	A10	US- 4,973,322	11/27/1990	Jewart	
	A11	US- 5,347,453	09/13/1994	Maestre	
	A12	US- 5,387,202	02/07/1995	Baron	
	A13	US- 5,495,961	03/05/1996	Maestre	
	A14	US- 5,578,020	11/26/1996	Mosley	
	A15	US- 5,624,057	04/29/1997	Lifshey	
	A16	US- 6,107,911	08/22/2000	Perrone	
	A17	US- 6,423,040	07/23/2002	Benktzon et al.	
	A18	US-			
	A19	US-			

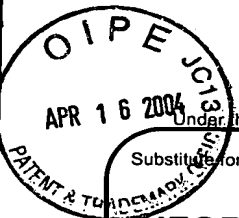
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	A20	EP 0 335 513	03/06/1989	Dispomed Ltd.		
	A21	WO 02/085282	10/31/2002	Valois		
	A22					
	A23					
	A24					
	A25					

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/782,686
Filing Date	02/19/04
First Named Inventor	Julia Clifford
Art Unit	
Examiner Name	
Attorney Docket Number	2463

Sheet	2	of	3
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	B1	JPO/PAJ/MicroPatent Abstract for Japanese Patent Application No. 10211254	
X	B2	JPO/PAJ/MicroPatent Abstract for Japanese Patent Application No. 09056777	
X	B3	JPO/PAJ/MicroPatent Abstract for Japanese Patent Application No. 2001120639	
X	B4	JPO/PAJ/MicroPatent Abstract for Japanese Patent Application No. 04082553	
X	B5	JPO/PAJ/MicroPatent Abstract for Japanese Patent Application No. 2000126272	
X	B6	JPO/PAJ/MicroPatent Abstract for Japanese Patent Application No. 2000116748	
X	B7	JPO/PAJ/MicroPatent Abstract for Japanese Patent Application No. 11076366	
X	B8	JPO/PAJ/MicroPatent Abstract for Japanese Patent Application No. 2001120638	
X	B9	JPO/PAJ/MicroPatent Abstract for Japanese Patent Application No. 11276548	
X	B10	PCT/MicroPatent abstract for European Application No. 335513	

Examiner Signature	Date Considered
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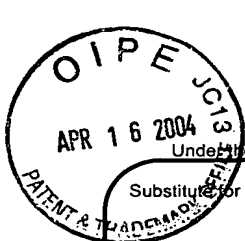
<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Application Number</b>	10/782,686
				<b>Filing Date</b>	02/19/04
				<b>First Named Inventor</b>	Julia Clifford
				<b>Art Unit</b>	
				<b>Examiner Name</b>	
<b>Sheet</b>	3	of	3	<b>Attorney Docket Number</b>	2463

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
X	C1	PCT/MicroPatent abstract for European Application No. 576649	
	C2	PCT/MicroPatent abstract for PCT Application No. 9509669	
	C3	Pharpack Europe; November 2002; No. 5; "Valois: Thinking Lateral with Nasal Devices" p. 15	
	C4	ARLT: ALR Technologies, Inc.; News article "ALR Technologies Announces Reminder Response System Development" June 17, 2002, 2 pgs.	
	C5	DIALOG (R) File 583: Galé Group Globalbase (TM) (c) 2002 The Gale Group, "Allergan Launches Compliance Cap" 1 pg.	
	C6	2003 PJB Publications Ltd., Newsletter regarding "Allergan Compliance Cap" 1 pg.	
	C7	Pharpack Europe; November 2002; No. 5; "Smart Packages May Help Control Prescriptions" p. 30	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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				<b>First Named Inventor</b>	Julia Clifford
				<b>Art Unit</b>	
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<b>Sheet</b>	3	of	3	<b>Attorney Docket Number</b>	2463

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